

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., et al., : 08-13555 (JMP)  
Debtors. : (Jointly Administered)  
-----x Ref. Docket No. 36060

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On May 17, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
22nd day of May, 2013  
/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: YORVIK PARTNERS LLP  
TRANSFEROR: UBS AG  
ATTN: RICHARD CARMODY  
11 IRONMONGER LANE  
LONDON EC2V 8EY UNITED KINGDOM

Additional:

Transferee: ADK SOHO FUND  
155 WOOSTER STREET  
NEW YORK NY 10012

Your transfer of claim # 559233-42 is defective for the reason(s) checked below:

Other

THERE IS NOT ENOUGH INFORMATION IN TRANSFER DOCUMENT

Docket Number 36060

Date 03/19/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on May 17, 2013.

## EXHIBIT B

TIME: 12:18:39  
DATE: 05/17/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

08-13555-mg Doc 37530 Filed 05/22/13 Entered 05/22/13 19:53:58 Main Document  
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Name ADK SOHO FUND  
YORVIK PARTNERS LLP

Address  
155 WOOSTER STREET NEW YORK NY 10012  
TRANSFEROR: UBS AG ATTN: RICHARD CARMODY 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM

Total Number of Records Printed 2